#### ERGATIVE CONTROL OF SYNTACTIC PROCESSES IN SAMA SOUTHERN

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This paper documents the exclusive ergative control of five syntactic processes in Sama Southern (south-western Philippines). It begins with an explanation of ergativity in reference to morphology (with data from Sama Southern which illustrates morphological ergativity). It then introduces and explains each of the following syntactic operations, demonstrating that they exhibit an ergative-absolutive pattern in Sama Southern: relativization, clefting, WH-question formation, equi-NP deletion, and second-position cliticization. That is, it is the O argument of a transitive clause which controls these syntactic processes. This contrasts with most other Philippine languages in which control of these syntactic processes is distributed more or less evenly between the A argument and the O argument.

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#### 1. Introduction

In recent years, Philippine languages have been analyzed as being morphologically ergative.<sup>1</sup> Although most of the world's languages which display morphological ergativity display a strong nominative pattern of syntactic control, this does not appear to be the case with Philippine languages. Available studies of syntactic processes in Philippine languages indicate that in transitive clauses, control is more or less evenly distributed between the two syntactically required arguments, exhibiting neither a

<sup>&</sup>lt;sup>1</sup> For theoretical discussions, see Payne 1982, de Guzman 1988, Gerdtz 1988, Kroeger 1993, Mithun 1994, Brainard 1994, and others. For ergative analyses of Philippine languages, see Walton 1986 for Sama Pangutaran, Hodder 1999 for Mayoyao Ifugao, Pebley and Brainard 1999 for Kagayanen, Gault 1999 for Sama Bangingi', and Brainard and Behrens 2002 for Yakan.

dominant nominative pattern nor a dominant ergative pattern of syntactic control.<sup>2</sup>
Southern Sinama,<sup>3</sup> however, appears to be an exception to this general pattern for
Philippine languages in that it displays a high degree of syntactic ergativity.<sup>4</sup> In this
paper, we will show that the majority of syntactic processes occurring in Southern
Sinama are controlled exclusively by S, the single argument of an intransitive clause<sup>5</sup>,
and O, the more patient-like argument of a transitive clause.

The paper will begin with a general explanation of ergativity, followed by a description of case marking morphology in Sinama. Five major syntactic processes will

There are about 11 distinct Sama groups. Southern Sinama is most closely related to Central Sinama and Pangutaran Sinama; these and other Sinama languages are closely related to Southern Mindanao languages. The term 'Sama' can refer to the Sama people or to their language; 'Sinama' refers specifically to the language, and will be used in the remainder of this paper.

Research in Sinama was carried out by the author under the auspices of the Summer Institute of Linguistics during the period of September 1987 to January 2006. Approximately four years of that time were spent resident in the village of Tubig Sallang, Bongao, Tawi-Tawi. About 100 texts of various genre were collected, paradigms were elicited, and a dictionary of some 3300 entries was compiled. These data are the basis for the results presented here.

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<sup>&</sup>lt;sup>2</sup> See Schachter 1976, 1977, and Kroeger 1993 for Tagalog, and Brainard 1994, 1996 for Karao.

<sup>&</sup>lt;sup>3</sup> Southern Sinama is spoken by the Sama people of the province of Tawi-Tawi in the Sulu archipelago of the south-west Philippines. It is estimated that there are about 100,000 speakers of Southern Sinama in Tawi-Tawi, and an additional 100,000 on the north and east coasts of Sabah, Malaysia.

<sup>&</sup>lt;sup>4</sup> Other research suggests that the Sama language family as a whole, including Yakan (Brainard and Behrens 2002), Sama Pangutaran (Walton 1986), and Sama Bangingi' (Gault 1999), exhibits a high degree of syntactic ergativity.

<sup>&</sup>lt;sup>5</sup> See Appendix 1 for list of abbreviations.

then be investigated, establishing that each of them has an ergative pattern of control.

## 2. Explanation of Ergativity

Every language has ways of expressing states or events, some which involve only one argument (e.g., *She is sleeping*) and others which involve two or more arguments (e.g., *She helped me*). Generally, an intransitive clause is used to express the single-argument state or event; other events are expressed by means of transitive clauses. For this discussion, arguments are assumed to be NPs that bear a grammatical relation to the verb and thus are grammatical relations. Following Dixon (1979, 1994), these arguments are labeled as:

- (1) 'S', the single argument of an intransitive clause;
- (2) 'A', the more agent-like argument (in general, the one initiating the action) of a transitive clause;
- (3) and 'O', the more patient-like argument (in general, the one affected by the action) of a transitive clause.

Thus, in the example *She is sleeping*, *she* is the S argument. In the example *She helped me*, *she* is the A argument, and *me* is the O argument.

Languages have certain ways of encoding these different arguments, or grammatical relations, typically using one or more of the following three formal

devices:

- (1) word order (e.g., English; cf. Ben helped Tim and Tim helped Ben. In this basic transitive English clause, the A argument precedes the verb and the O argument follows the verb.)
- (2) case marking (e.g., English; cf. *She helped me* and *I helped her*. English has one set of pronouns used to refer to the A argument (often called the Subject pronouns), and a separate set to refer to the O argument (often called the Object pronouns)<sup>6</sup>.)
- (3) agreement (e.g., English present tense; cf. *He helps us* and *We help him*. Note the verbal suffix, indicated here by -s, on English present tense verbs when A is 3<sup>rd</sup> person singular (as in *He helps us*). When O is 3<sup>rd</sup> person singular (as in *We help him*), the -s suffix does not occur. Thus, we can say that in this (somewhat restricted) environment, the form of the verb 'agrees with' the number of A (but it is unaffected by the number of the O).)

Now, for many of the world's languages, the formal device used (in a given language) to encode an S is the same as that used (in that language) to encode A, but not O. Many of these languages have a 'case' system, in which the 'nominative' case is

<sup>&</sup>lt;sup>6</sup> English no longer has a complete set of personal pronouns to distinguish A from O; the A set includes *I*, *you*, *he*, *she*, *we*, *you*, *they*, while the O set includes *me*, *you*, *him*, *her*, *us*, *you*, *them*. That is,

used for S and A, and the 'accusative' case is used for O. For example, we can refer to the English pronoun set *I*, *he*, *she*, *we*, *they* as nominative, and the set *me*, *him*, *her*, *us*, *them* as accusative; the nominative set is used for S (e.g., *She is sleeping*.) and A (e.g., *She helped me*.), and the accusative set is used for O (e.g. *She helped me*.) By convention, a language in which the same formal device is used to encode S and A, but not O, is said to be 'nominative-accusative' (or its shortened term, 'nominative'); a language in which the same formal device is used to encode S and O, in contrast to A, is said to be 'ergative-absolutive' (or its shortened term, 'ergative')<sup>7</sup>. In a nominative language, S and A are said to be nominative, while O is accusative. In an ergative language, S and O are said to be absolutive, while A is ergative.

# 3. Ergativity in Sinama Morphology

The encoding of S, A, and O in Sinama is seen most clearly when pronoun referents occur. Sinama has three pronoun sets: absolutive, ergative, and oblique<sup>8</sup>. As

you ( $2^{nd}$  person singular or plural) is used both for A and O.

<sup>&</sup>lt;sup>7</sup> It is considerably more precise to speak of a nominative vs. ergative 'system' within a given language, rather than referring in a general way to the language itself as being nominative or ergative. A language may exhibit a nominative-accusative pattern in some features, but an ergative-absolutive pattern in others.

 $<sup>^8</sup>$  See Appendix 2 for the pronoun chart. See Trick 1997:126-7 for data demonstrating morphological ergativity in Sinama.

illustrated in examples (1) through (7), S and O are absolutive, and A is ergative.9

- (1) Tuli akú gana-gana.
  tuli akú gana-gana
  sleep 1SG.ABS later
  S
  I(S) will sleep later.
- (2) Tabangan-na<sup>10</sup> akú. tabang -an -na akú help -PAT -3SG.ERG 1SG.ABS A O She (A) will help me (O).
- (3) Tabangan-ku iyá.
  tabang -an -ku iyá
  help -PAT -1SG.ERG 3SG.ABS
  A O
  I (A) will help him/her (O).

When S or O is encoded by a full NP (whether a common noun or a proper noun), it has no case marking:

<sup>9</sup> The orthography of Sinama consists of 17 consonants and 5 vowels: b [b], d [d], g [g], h [h], j [dʒ], k [k], l [l], m [m], n [n], n [n], p [p], r [r], s [s], t [t], w [w], y [j], a [ə][ɛ], e [e][ɛ], i [i][ɪ], o [o], u [u]. Glottal stop is a phoneme, and is represented by h when it occurs syllable-finally (e.g., lumah-na [lu.'mɛ?.nə] 'his/her house'), hyphen when it occurs syllable-initially between morphemes (e.g., mag-adjal [məg.'?ɛd.dʒəl] 'to cook'), and is not represented when it occurs intervocalically (e.g.,

piitu [pɪ.ˈʔi.tu] 'come here') or word-initially (e.g., eroh [ˈʔɛ.ɾoʔ] 'dog').

Geminate consonants occur and are represented as a sequence of two identical segments (e.g., *addat* ['ʔɐd.dət] 'custom'). Geminate vowels also occur, though with relatively low frequency. In general, the orthography does not represent geminate vowels; however, in a few cases where there may be ambiguity, an acute accent indicates geminate vowels (e.g., *pasód* [pə.'so.od] 'to enter').

 $^{10}$  Word order in Sinama tends to be VS and VOA; however, when an ERG pronoun occurs with a verb which is not prefixed with ni-, the ERG pronoun is bound to the right side of the verb and thus must precede O.

(4) Tuli si Ben gana-gana.

tuli si Ben gana-gana
sleep PM name.person later
S
Ben (S) will sleep later.

(5) Tuli anak-anak gana-gana.
tuli DUP- anak gana-gana
sleep DIM- child later
S
The child (S) will sleep later.

(6) Tabangan-ku si Ben.
tabang -an -ku si Ben
help -PAT -1SG.ERG PM name.person
A O
I (A) will help Ben (O).

(7) Tabangan-ku anak-anak.

tabang -an -ku DUP- anak
help -PAT -1SG.ERG DIM- child
A O
I (A) will help the child (O).

is preceded by leh, and the verb is obligatorily affixed with the agreement<sup>12</sup> affix ni-:

When A is encoded by a full NP (whether a common noun or a proper noun), it

(8) Nitabangan anak-anak leh mastal.

ni- tabang -an DUP- anak leh mastal

AGR- help -PAT DIM- child ERG teacher

O A

The teacher (A) will help the child (O).

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<sup>&</sup>lt;sup>11</sup> In Sinama, all personal names are preceded by the personal marker *si* (regardless of the grammatical relation or semantic role).

<sup>&</sup>lt;sup>12</sup> Traditionally 'verb agreement' refers to an affix on the verb that indicates number, gender, case, person, or tense; furthermore, agreement usually occurs on verbs in both intransitive and transitive clauses. In Sinama, it appears that when A is a *leh*- phrase (which is obligatory when A is not a pronoun, and optional when it is a pronoun), the verb is prefixed with *ni*-. For want of a better term, we are currently calling *ni*- an agreement affix because of this co-occurrence with *leh*-.

- (9) Nitabangan akú leh si Ben.
  ni- tabang -an akú leh si Ben
  AGR- help -PAT 1SG.ABS ERG PM name.person
  O A
  Ben (A) will help me (O).
- (10) Nitabangan anak-anak leh si Ben.
  ni- tabang -an DUP- anak leh si Ben
  AGR- help -PAT DIM- child ERG PM name.person
  O A
  Ben (A) will help the child (O).
- (11) Nisampak si Ben leh si Wahid.
  ni- sampak si Ben leh si Wahid
  AGR- slap PM name.person ERG PM name.person
  O A
  Wahid (A) will slap Ben (O).

The marker *leh* marks A only; it never marks S or O, as in *Tuli \*leh si Ben* 'Ben (S) will sleep' or *Tabangan-ku \*leh si Ben* 'I (A) will help Ben (O)'.

### 4. Syntactic Processes and Patterns of Control in Sinama

The previous section shows that in Sinama, case marking of S, A, and O follows a consistently ergative pattern. This section will demonstrate that syntactic control in Sinama also displays a high degree of syntactic ergativity. Specifically, it will show that S and O, and only S and O, are the syntactic control for relativization, clefting, WH-question formation, equi-NP deletion, and second-position cliticization.

#### 4.1. Relativization

Relativization is a process by which a NP is modified by a subordinate clause. The subordinate clause is the relative clause, and the NP that it modifies is its head noun. In Sinama, relativization follows an ergative pattern of syntactic control in that only S and

O may be the head of a relative clause. Examples (12) and (13) are independent clauses; in example (14), the sentence in (13) functions as a relative clause. Note that in the clause which is relativized in example (14), the O argument (referring to the rope) has been deleted (being co-referential with the head noun of the main clause). Example (15) demonstrates that the A argument cannot be the head of a relative clause. Example (16) may appear on the surface to illustrate that an A argument may be deleted; however, note that in this case, the relativized clause is an antipassive construction (that is, it has been detransitivized he deleted referent is S, the single required argument of an intransitive clause). As such, the structure of (16) is very similar to that of (18) (which is derived from the clearly intransitive clause of example (17)).

(12) Bey tandah-ku lubid.
bey ta- ndah -ku lubid (independent clause)
PPFV NCTRL- see -1SG.ERG rope
A O
I saw the rope.

(13) Bey nikottob lubid itu leh anak-anak.
bey ni- kottob lubid itu leh DUP- anak (independent clause)
PPFV AGR- cut rope D1.ABS ERG DIM- child
O A
A/The child cut this rope.

<sup>13</sup> In the examples throughout sections 4.1 through 4.4, notations are made to indicate which argument is deleted in the various syntactic processes.

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<sup>&</sup>lt;sup>14</sup> Note the *ngaN*- intransitive prefix on the verb.

- (14) Bey tandah-ku lubid ya bey nikottob
  bey ta- ndah -ku lubid ya bey ni- kottob
  PPFV NCTRL- see -1SG.ERG rope NMZ PPFV AGR- cut
  - Ø leh anak-anak.
    leh DUP- anak
    ERG DIM- child
    O=Ø A
    I saw the rope which a/the child cut.
- (15) \*Bey tandah-ku anak-anak ya bey nikottob(-na)<sup>15</sup> lubid Ø. I saw the child who cut the rope.
- (16) Bey tandah-ku anak-anak bey ngottob lubid. ya bey bey ta- ndah -ku DUP- anak ngaN- kottob lubid ya PPFV NCTRL- see -1SG.ERG DIM- child NMZ PPFV INTR- cut rope S=Ø I saw the child who cut a/the rope.
- (17) Bey nengge anak-anak.

  bey ngaN- tengge DUP- anak
  PPFV INTR- stand DIM- child
  S
  The child stood.
- (18) Bey tandah-ku anak-anak ya bey nengge bey ta- ndah -ku DUP- anak ya bey ngaN- tengge PPFV NCTRL- see -1SG.ERG DIM- child NMZ PPFV INTR- stand S=Ø I saw the child who stood.

# 4.2. Clefting

A cleft construction is one in which a NP is extracted from the main clause and appears as a fronted head noun; in Sinama, this head noun is cross-referenced on the nominalized verb. Cross-linguistically the structure of relative clauses and cleft constructions tends to be similar.

 $^{15}$  The parentheses here indicate that this example is ungrammatical with or without the ergative pronoun.

Cleft constructions in Sinama follow an ergative pattern of syntactic control in that only S and  $O^{16}$  may be the head noun. The head noun precedes the nominalized clause. The argument in the nominalized clause that is co-referential with the head noun is obligatorily absent. If the head noun is a pronoun, the pronoun is from the oblique class<sup>17</sup>.

- (19) Bey nengge si Ben.
  bey ngaN- tengge si Ben
  PPFV INTR- stand PM name.person
  S
  Ben stood.
- (20) Si Ben ya na bey nengge Ø.
  si Ben ya na bey ngaN- tengge
  PM name.person NMZ LK PPFV INTR- stand
  S=Ø
  Ben is who stood.
- (21) Bey nikottob lubid itu leh anak-anak.
  bey ni- kottob lubid itu leh DUP- anak
  PPFV AGR- cut rope D1.ABS ERG DIM- child
  O A
  A/the child cut this rope.
- (23) \*Anak-anak ya bey nikottob(-na) lubid itu. A/The child is who cut this rope.

As (23) shows, the A argument cannot be the head of a cleft construction;

 $^{16}$  In addition to patient, this may include a location, an instrument, or a beneficiary that has been promoted to O.

 $<sup>^{17}</sup>$  For example, (20) would be: *Íya ya na bey nengge*. 'He/She is who stood.'

however, if the transitive clause is changed to an antipassive construction, in which the A argument becomes an S argument, then the argument can be the head of a cleft (24). In (25) the patient is O and so is eligible to be the head of a cleft construction (26). When the oblique recipient in (25) is promoted to O (27), it is also eligible to be the head of a cleft construction (28)<sup>18</sup>. In (29), the oblique NP is a beneficiary. When the beneficiary is promoted to O (31), it too is eligible to be the head of a cleft construction (32).

- (24) Anak-anak ya bey ngottob lubid Ø.

  DUP- anak ya bey ngaN- kottob lubid

  DIM- child NMZ PPFV INTR- cut rope

  S=Ø

  A child is who cut (or cut at) a rope.
- (25) Nipamuwan

  ni pangaN- buwan búk leh mastal ni anak-anak.

  AGR- PAT- give book ERG teacher to DIM- child

  O A OBL

  A/The teacher will give the book to a/the child.
- (26) Búk itu na nipamuwan leh mastal ni anak-anak. ya búk itu ya pangaN- buwan leh mastal ni DUP- anak na ni-ERG teacher to DIM- child book D1.ABS NMZ LK AGR- PATgive O=Ø A OBL This book is what a/the teacher will give to a/the child.
- (27) Nibuwanan anak-anak búk leh mastal.

  ni- buwan -an DUP- anak búk leh mastal

  AGR- give -VI DIM- child book ERG teacher

  O(promoted LOC) A

  A/The teacher will give the child a book.

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<sup>&</sup>lt;sup>18</sup> If an OBL NP is to become a head noun, it must first be promoted to O (i.e. direct object), in which case it is cross-referenced on the verb by an appropriate affix.

- (28) Anak-anak ya na nibuwanan búk Ø leh mastal.

  DUP- anak ya na ni- buwan -an búk leh mastal

  DIM- child NMZ LK AGR- give -VI book ERG teacher

  promoted LOC=Ø

  A/The child is whom a/the teacher will give a book.
- (29) Adjal-na keyk itu ma kau.

  adjal -na keyk itu ma kau
  cook -3SG.ERG cake D1.ABS LOC 2SG.OBL
  A O OBL
  She will bake this cake for you.
- (30) Keyk itu adjal-na kau. ya Ø ma keyk itu adjal -na kau ya ma cake D1.ABS NMZ cook -3SG.ERG LOC 2SG.OBL  $0=\emptyset$ OBL This cake is what she will bake for you.
- (31) Adjalan-na kow<sup>19</sup> keyk.
  adjal -an -na kow keyk
  cook -VI -3SG.ERG 2SG.ABS cake
  A O(promoted BEN)
  She will bake you a cake.
- (32) Kau ya adjalan-na Ø keyk.

  kau ya adjal -an -na keyk

  2SG.OBL NMZ cook -VI -3SG.ERG cake

  A promoted BEN=Ø

  You are for whom she will bake a cake.

# 4.3. WH-question formation

A WH-question (also called "information question" or "content question") is one which contains a pro-form (e.g., English "who", "what", "where", "why", "when"). In many languages, this pro-form occurs in clause-initial position, resulting in a "gap" at the position where the questioned argument occurs in the non-question form. (E.g., He will give the book to you. cf. What will he give Ø to you?)

<sup>&</sup>lt;sup>19</sup> In examples (31) and (32), we use a pronoun to further exemplify that this argument in a

In Sinama, WH-question formation follows an ergative pattern of syntactic control:

S and O may be questioned; A may not. In the following examples, (33) and (34) show that S of an intransitive clause can be the questioned element of a WH-question.

Examples (35) and (36) show that O of a transitive clause may be the questioned element, and (37) and (38) show that once an OBL NP is promoted to O (i.e. direct object), it also can be the questioned element. Finally, examples (40) and (41) show that in order for A of a transitive clause to be the questioned element, the transitive clause must change to an antipassive construction so that A becomes S, at which point the argument is then eligible to be the questioned element.

- (33) Bey nengge anak-anak.

  bey ngaN- tengge DUP- anak
  PPFV INTR- stand DIM- child
  The child stood.
- (34) Siyan bey nengge Ø?
  siyan bey ngaN-tengge Ø
  who PPFV INTR-stand Ø
  S=Ø
  Who stood?
- búk 1eh danda (35) Bey ni anak-anak. pamuwan bey pangaNbuwan búk 1eh danda ni DUP- anak book ERG female to DIM- child PPFV PATaive 0 Α OBL A/The woman gave the book to a/the child.

eyyan bey pamuwan ø leh danda ni anak-anak?
eyyan bey pangaN- buwan leh danda ni DUP- anak
what PPFV PAT- give ERG female to DIM- child

O=Ø

What did a/the woman give to a/the child?

(37) Bey nibuwanan anak-anak búk leh danda.

bey ni- buwan -an DUP- anak búk leh danda

PPFV AGR- give -VI DIM- child book ERG female

O(promoted LOC) A

A/The woman gave the child a book. (\*A/The woman gave the child the book.)

(38) Siyan bey nibuwanan Ø búk leh danda?
siyan bey ni- buwan -an búk leh danda
who PPFV AGR- give -VI book ERG female
promoted LOC=Ø

To whom did a/the woman give a book? (\*To whom did a/the woman give the book?)

- (39) \*Siyan bey pamuwan buk ø ni anak-anak?

  \*A=Ø

  Who gave the book to a/the child?
- (40) Bey akú muwan búk ni anak-anak.
  bey akú ngan-buwan búk ni DUP- anak
  PPFV 1SG.ABS INTR- give book to DIM- child
  S
  I gave a book to a/the child.
- (41) Siyan bey Ø muwan búk ni anak-anak?
  siyan bey ngaN- buwan búk ni DUP- anak
  who PPFV INTR- give book to DIM- child
  S=Ø
  Who gave a book to a/the child?

# 4.4. Equi-NP deletion<sup>20</sup>

Equi-NP deletion is a syntactic process involving a main clause and a complement clause, in which an argument in the main clause is co-referential with one in the complement clause, and one of the co-referential arguments is deleted (usually the co-referential argument of the complement clause). The process is illustrated in the

<sup>20</sup> For a fuller discussion of equi-NP deletion in Sinama, see Trick 1997.

following English examples.

- (42) I want the book.
- (43) I will sleep.
- (44) I want to sleep.  $S=\emptyset$
- (45) I will give the book to her.  $\Delta$
- (46) I want to give the book to her.  $A=\emptyset$

The verb *want* can take either a NP complement, as in (42), or a clause complement, as in (44) and (46). In (44), the one 'wanting' and the one 'sleeping' are co-referential. Similarly, in (46), the one 'wanting' and the one 'giving' are also co-referential. In both (44) and (46), the co-referential argument of the complement clause is deleted. Note that in (44), the deleted argument is S (the one sleeping) of the complement clause, and in (46), the deleted argument is A (the one giving) of the complement clause. Thus, in English, equi-NP deletion operates on a nominative pattern of syntactic control, since it is S or A (and not O) that is deleted.<sup>21</sup>

Although equi-NP deletion operates on a nominative pattern of control in most

<sup>&</sup>lt;sup>21</sup> In English, it might appear that O or IO (indirect object) may also be deleted if the clause has first been made passive, as in *She wants to be given the book* (IO= $\emptyset$ ?) or *The puppy wants to be given to the little girl* (O= $\emptyset$ ?). In fact, though, a passive clause is a single-argument construction having only S. In the clause, *She was given the book*, the pronoun *she* is S. Likewise, *puppy* in *The puppy was given to the little girl* is S.

languages of the world, including Philippine languages, Sinama is an exception to this near universal pattern in that equi-NP deletion operates on an exclusive ergative pattern of control;<sup>22</sup> that is, only S of an intransitive clause (49) and O of a transitive clause (51) are deleted under co-reference, never A (52).

- (47) Kabilahian-ku búk.

  ka- bilahi -an -ku búk

  INV- want -PAT -1SG.ERG book
  I want the book.
- (48) Tuli akú. tuli akú sleep 1SG.ABS S I will sleep.
- (49) Kabilahian-ku tuli  $\emptyset$ .

  ka- bilahi -an -ku tuli
  INV- want -PAT -1SG.ERG sleep

  S= $\emptyset$ I want to sleep.
- (50) Nilinganan akú leh si Ben.
  ni- lengan -an akú leh si Ben
  AGR- call -PAT 1SG.ABS ERG PM name.person
  O A
  Ben will call me.
- (51) Kabilahian-ku nilinganan Ø leh si Ben.
  ka- bilahi -an -ku ni- lengan -an leh si Ben
  INV- want -PAT -1SG.ERG AGR- call -PAT ERG PM name.person
  O=Ø A
  I want Ben to call [me].

<sup>&</sup>lt;sup>22</sup> This has also been demonstrated for Yakan (Brainard and Behrens, 2002:161-3).

### (52) \*Kabilahian si Ben nilinganan akú Ø.

Ben wants to call me.<sup>23</sup>

O \*A=0

# 4.5. Second-position cliticization

A clitic is a form which has some features of an independent word but which is bound to another word (known as the host).<sup>24</sup> For many Philippine languages, the arguments S, A, and O all behave like second-position clitics when they are coded as pronouns. In Sinama, however, only S and O function as second-position clitics, never A. Thus second-position cliticization in Sinama displays an ergative pattern of syntactic control. Specifically, when a host element such as *ley* PPFT, *bey* PPFV, or *maha* NEG occurs clause-initially, and S or O is also a pronoun, the pronoun will move to the left of the verb into the second position of the clause (as in (54) and (56)). This is not, however, the case with A (see (57) and (58)).<sup>25</sup>

(53) Nengge iyá.

ngaN- tengge iyá

INTR- stand 3SG.ABS

S/he will stand.

\_\_\_\_

<sup>&</sup>lt;sup>23</sup> Example (52) is grammatical with the meaning, 'Ben wants that I will be called (by someone else).' That is, the complement clause is passive, and not transitive. The deleted argument is not coreferential with *Ben*.

<sup>&</sup>lt;sup>24</sup> Other features of clitics: they are phonologically unstressed, they usually attach to the edges of words (i.e. outside of derivational or inflectional affixes), they function at the phrase or clause level, often having grammatical rather than lexical meaning.

<sup>&</sup>lt;sup>25</sup> This pattern of ergative control for second position clitics has also been noted for Sama Bangingi' (Gault 1999) and Yakan (Brainard and Behrens 2002:127-131).

(54) Bey iyá nengge.
bey iyá ngaN- tengge
PPFV 3SG.ABS INTR- stand
S
S/he stood.

(55) Tabangan-na akú.
tabang -an -na akú
help -PAT -3S.ERG 1S.ABS
A O
She will help me.

(56) Maha akú tabangan-na.

maha akú tabang -an -na

NEG 1S.ABS help -PAT -3S.ERG

O A

She will not help me.

(57) \*Maha-na akú tabangan.

A O

She will not help me.

(58) \*Maha-na tabangan akú.

A O

She will not help me.

### 5. Conclusion

The data presented here demonstrate that in addition to morphological ergativity, Sinama exhibits a high degree of syntactic ergativity. Specifically S and O, and only S and O, control not only relativization, clefting, and WH-question formation, as in many Philippine languages, but also equi-NP deletion and second-position cliticization.<sup>26</sup>

(That is, in each of these syntactic operations, S and O pattern alike, and A patterns

<sup>&</sup>lt;sup>26</sup> Preliminary research indicates that imperatives, reflexivization, and reciprocalization operate on a nominative-accusative pattern of syntactic control, but these processes are beyond the scope of this paper.

differently.) To our knowledge, Sinama<sup>27</sup> is unique among Philippine languages in that syntactic control for equi-NP and second-position cliticization follows an exclusive ergative pattern. Although other Philippine languages demonstrate syntactic ergativity with respect to some of these processes, published results to date have not documented syntactic ergativity to this degree.

# **Appendix 1 – Abbreviations**

A	more agent-like argument in transitive	LK	linker	
	clause	LOC	locative	
AGR	agreement affix	MKR_I	impersonal marker	
ASC	Associative	NCTRL	no-control	
BEN	beneficiary	NMZ	nominalizer	
CAUS	causative	O	more patient-like argument in	
D1.ABS	demonstrative, near, absolutive		transitive clause	
DIM	diminutive	OBL	oblique	
DU	dual	PASS	passive	
DUP	reduplication affix	PAT	Patient	
ERG	ergative	PM	personal marker	
INS	instrument	PPFT	past perfect	
INTR	intransitive	PPFV	past perfective	
INV	involuntary	S	single argument of intransitive clause	
		VI	valence increaser	

 $<sup>^{27}</sup>$  This claim may also be true of other Sama languages, and has in fact been demonstrated for Yakan (Brainard and Behrens 2002).

# Appendix 2 – Southern Sinama Personal Pronouns

person	number	A	bsolutive	Ergative		Oblique	
1	sing	akú	1SG.ABS	-ku	1SG.ERG	áku	1SG.OBL
	pl	kamí	1PL.ABS	-kami	1PL.ERG	kami	1PL.OBL
2	sing	kow	2SG.ABS	-nu	2SG.ERG	kau	2SG.OBL
	pl	kam	2PL.ABS	-bi	2PL.ERG	kaam	2PL.OBL
DU	sing	kitá	DU.SG.ABS	-ta	DU.SG.ERG	kita	DU.SG.OBL
	pl	kitabí	DU.PL.ABS	-tabí	DU.PL.ERG	kitabí	DU.PL.OBL
3	sing	iyá	3SG.ABS	-na	3SG.ERG	iya	3SG.OBL
	pl	sigá	3PL.ABS	-sigá	3PL.ERG	sigá	3PL.OBL

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